

**Procurement Document Reference Number:** \_\_\_\_\_  
*Please insert the Procurement Document Reference Number*

## Ultimate Beneficial Owner Declaration Form

**Purpose:** This is a Declaration Form on the collection of information on Beneficial Ownership required by the Department of Contracts, in liaison with Malta's European Union (EU) Funding Structures, the Malta Business Registry and the National Coordinating Committee on Combating Money Laundering and Funding of Terrorism, pursuant to the necessity of ensuring compliance with the applicable EU Legislations,<sup>1</sup> not least in relation to the detection of Fraud, Corruption and Conflict of Interest as well as the Protection of the Financial interests of the European Union.

**Definition:** In line with Article 3(6) of EU Directive (EU) 2015/849 of the European Parliament and of the Council of 20 May 2015 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing, a **'beneficial owner'** refers to *any natural person(s) who ultimately owns or controls the customer and/or the natural person(s) on whose behalf a transaction or activity is being conducted and includes at least:*

*(a) in the case of corporate entities:*

*(i) the natural person(s) who ultimately owns or controls a legal entity through direct or indirect ownership of a sufficient percentage of the shares or voting rights or ownership interest in that entity, including through bearer shareholdings, or through control via other means, other than a company listed on a regulated market that is subject to disclosure requirements consistent with Union law or subject to equivalent international standards which ensure adequate transparency of ownership information;*

*A shareholding of 25 % plus one share or an ownership interest of more than 25 % in the customer held by a natural person shall be an indication of direct ownership. A shareholding of 25 % plus one share or an ownership interest of more than 25 % in the customer held by a corporate entity, which is under the control of a natural person(s), or by multiple corporate entities, which are under the control of the same natural person(s), shall be an indication of indirect ownership. This applies without prejudice to the right of Member States to decide that a lower percentage may be an indication of ownership or control. Control through other means may be determined, inter alia, in accordance with the criteria in Article 22(1) to (5) of Directive 2013/34/EU of the European Parliament and of the Council<sup>2</sup>;*

*(ii) if, after having exhausted all possible means and provided there are no grounds for suspicion, no person under point (i) is identified, or if there is any doubt that the person(s) identified are the beneficial owner(s), the natural person(s) who hold the position of senior managing official(s), the obliged entities shall keep records of the actions taken in order to identify the beneficial ownership under point (i) and this point;*

<sup>1</sup> EU Legislations such as:

- Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012.
- Directive (EU) 2015/849 of the European Parliament and of the Council of 20 May 2015 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing, amending Regulation (EU) No 648/2012 of the European Parliament and of the Council, and repealing Directive 2005/60/EC of the European Parliament and of the Council and Commission Directive 2006/70/EC.
- Regulation (EU) 2021/241 of the European Parliament and of the Council of 12 February 2021 establishing the Recovery and Resilience Facility.
- Directive 2014/24/EU of the European Parliament and of the Council of 26 February 2014 on public procurement and repealing Directive 2004/18/EC; and its locally transposed version, namely the Public Procurement Regulations S.L. 601.03.
- Directive 2014/25/EU of the European Parliament and of the Council of 26 February 2014 on procurement by entities operating in the water, energy, transport and postal services sectors and repealing Directive 2004/17/EC; and its locally transposed version, namely the Public Procurement of Entities operating in the Water, Energy, Transport and Postal Services Sectors Regulations S.L. 601.05.
- Directive 2014/23/EU of the European Parliament and of the Council of 26 February 2014 on the award of concession contracts; and its locally transposed version, namely the Public Procurement Regulations S.L. 601.09.

<sup>2</sup> Directive 2013/34/EU of the European Parliament and of the Council of 26 June 2013 on the annual financial statements, consolidated financial statements and related reports of certain types of undertakings, amending Directive 2006/43/EC of the European Parliament and of the Council and repealing Council Directives 78/660/EEC and 83/349/EEC (OJ L 182, 29.6.2013, p. 19).

*(b) in the case of trusts, all following persons:*

- (i) the settlor(s);*
- (ii) the trustee(s);*
- (iii) (iii) the protector(s), if any;*
- (iv) the beneficiaries, or where the individuals benefiting from the legal arrangement or entity have yet to be determined, the class of persons in whose main interest the legal arrangement or entity is set up or operates;*
- (v) (v) any other natural person exercising ultimate control over the trust by means of direct or indirect ownership or by other means;*

*(c) in the case of legal entities such as foundations, and legal arrangements similar to trusts, the natural person(s) holding equivalent or similar positions to those referred to in point (b).*

---

### **Compilation Instructions to Economic Operators**

Section A relates to information pertaining to the specific awarded Public Contract. Section A shall be compiled by the Contracted Economic Operator or the Lead Partner in case of a Contracted Joint Venture or Consortium or (Limited) Partnership or Group of Economic Operators.

Section B relates to information pertaining to the Contracted Economic Operator, whereby the latter is defined as ‘any natural or legal person or public entity or group of such persons and, or entities, including any temporary association of undertakings and shall cover equally the concepts of contractor, supplier and service provider’, in line with Regulation 2 of S.L. 601.03<sup>3</sup>. Accordingly, if the Contracted Economic Operator is a Sole Trader/Cooperative or Public Limited Company or Private (Un) Limited Company or Non-Profit Organisation, Option 1 shall be compiled by the Declarant. On the other hand, if the Contracted Economic Operator is a Joint Venture (JV), Consortium, (Limited) Partnership or any other form that constitutes a Group of Economic Operators, Option 2 shall be compiled by the Declarant.

Section C relates to information pertaining to all Sub-Contractor/s known and declared by the Contracted Economic Operator. Section C shall be compiled by the Declarant of each Sub-Contractor.

Section D relates to information pertaining to Entities upon whom the Contracted Economic Operator relied on for their capacities<sup>4</sup> in meeting the Selection Criteria vis-à-vis the Economic and Financial Standing and/or Professional Ability. Section D shall be compiled by the Declarant of each Entity.

Section E relates to information pertaining to all the Beneficial Owner(s) of the (i) Contracted Economic Operator (ii) Sub-Contractor/s (iii) Entities upon whom the Contracted Economic Operator relied on for their capacities in meeting the Selection Criteria vis-à-vis the Economic and Financial Standing and/or Professional Ability. Section E shall be compiled by each applicable Declarant.

Section E Part I is specific to the Contracted Economic Operator; If the Contracted Economic Operator is a Sole Trader/Cooperative or Public Limited Company or Private (Un) Limited Company or Non-Profit Organisation, Option 1 shall be compiled. On the other hand, if the Contracted Economic Operator is a Joint Venture (JV), Consortium, (Limited) Partnership or any other form that constitutes a Group of Economic Operators, Option 2 shall be compiled. Section E Part II is specific to Sub-Contractor/s. Section E Part III is specific to Entities upon whom the Contracted Economic Operator relied on for their capacities in meeting the Selection Criteria vis-à-vis the Economic and Financial Standing and/or Professional Ability.

Section F relates to any additional information that any Declarant on this Ultimate Beneficial Owner Declaration Form would like to provide to substantiate the information being provided in Section E.

#### Generic Compilation Instructions:

Sections A, B and E are mandatory and must invariably be meticulously compiled. Sections C and D are specific to the circumstance of each Public Contract, thus, at times, such sections need not be compiled. Certain Sections have Parts and/or Options within them; it is important that the applicable Sections, Parts and Options are correctly, fully completed. In the eventuality that a Section and/or Part and/or Option is not relevant to a specific Public Contract, one must write ‘Not Applicable’.

<sup>3</sup> Regulation 2 of S.L. 601.05 defines Economic Operators as ‘any natural or legal person or public entity or group of such persons and, or entities, including any temporary association of undertakings. Regulation 2 of S.L. 601.09 define Economic Operators as ‘any natural or legal person, or public entity, or a group of such persons or entities, including temporary associations of undertakings, which offers the execution of works and, or a work, the supply of products or the provision of services on the market. Regulation 2 of S.L. 601.12 define Economic Operators as ‘any natural or legal person or public entity or group of such persons and, or entities, including any temporary association of undertakings who submitted a bid under, and regulated by, these regulations’. Regulation 2 of S.L. 601.08 define Economic Operators same as S.L. 601.03.

<sup>4</sup> Regulation 235(1) of S.L. 601.03 states that ‘With regard to criteria relating to economic and financial standing as set out pursuant to regulations 218 to 221, and to criteria relating to technical and professional ability as set out pursuant to regulations 222 and 223, an economic operator may, where appropriate and for a particular contract, rely on the capacities of other entities, regardless of the legal nature of the links which it has with them. With regard to criteria relating to the educational and professional qualifications as set out in regulation 232(f), or to the relevant professional experience, economic operators may however only rely on the capacities of other entities where the latter will perform the works or services for which these capacities are required’. Regulation 168(1) of S.L. 601.05 states that ‘Where the objective rules and criteria for the exclusion and selection of economic operators requesting qualification in a qualification system include requirements relating to the economic and financial capacity of the economic operator, or to its technical and professional abilities, the economic operator may where necessary rely on the capacity of other entities, whatever the legal nature of the link between itself and those entities. With regard to criteria relating to the educational and professional qualifications of the service provider or contractor or those of the undertaking’s managerial staff or to the relevant professional experience, economic operators may however only rely on the capacities of other entities where the latter will perform the works or services for which these capacities are required’. Regulation 78(1) of S.L. 601.09 states that ‘With a view to meeting the conditions for participation laid down in regulation 77 an economic operator may, where appropriate and for a particular concession, rely on the capacities of other entities, regardless of the legal nature of its links with them’.

**Importance of the Ultimate Beneficial Owner Declaration Form:**

It is pertinent to note that all the above stakeholders must include the information on the Ultimate Beneficial Owner/s, in view of the 'Revisions to Recommendation 24: Transparency and Beneficial Ownership of Legal Persons', as published by the Financial Action Task Force (FATF), specifically in the course of Public Procurement<sup>5</sup>. In addition, it is also important to remember that through a General Prohibition, Regulation 191(1) of the Public Procurement Regulations (2016) S.L. 601.03<sup>6</sup> states that 'No economic operator or sub-contractor shall be given a public contract if he is subject to any of the exclusion or black listing grounds mentioned under this Part'<sup>7</sup>. In addition, Regulation 235 (2) of S.L. 601.03<sup>4</sup> states that 'The contracting authority shall, ... verify whether the entities on whose capacity the economic operator intends to rely, fulfils the relevant selection criteria and whether he falls under any ground established under Part VI'<sup>8</sup>.

---

<sup>5</sup> Corporate Vehicles, namely Legal Persons (The General Glossary of the FATF recommendations defines Legal persons as any entities other than natural persons that can establish a permanent customer relationship with a financial institution or otherwise own property. This can include companies, bodies corporate, foundations, anstalt, partnerships, or associations and other relevantly similar entities.) and Legal Arrangements (Further to the definition in the General Glossary of the FATF recommendations, Legal arrangements refers to express trusts and other similar legal arrangements such as fiducie, certain types of Treuhand, fideicomiso and Waqf.), due to their unique legal status, become susceptible to being misused by criminals for various unlawful purposes, including Money Laundering, Tax Fraud, Bribery and Corruption, Terrorist Financing, Insider Dealings, Sanctions Evasion and other illicit activities. To this end, the FATF, through the 'Revisions to Recommendation 24' has recently strengthened the international standards on beneficial ownership. In fact, to tackle illicit financial drifts, countries are required to ensure that competent authorities have access to adequate, accurate and up-to-date information on the beneficial ownership and control of legal persons. Such requirements are also applicable in the course of Public Procurement.

<sup>6</sup> Regulation 164 of the Public Procurement of Entities operating in the Water, Energy, Transport and Postal Services Sectors Regulations (2016) S.L. 601.05, Regulation 42 of the Procurement of Property Regulations S.L. 601.12 (2020) and Regulation 81 of the Concession Contracts Regulations S.L. 601.09 (2016) redirect to S.L. 601.03 since the said regulations state that 'Part VI of the Public Procurement Regulations shall mutatis mutandis apply to these regulation'. The concept of 'Excluded or Blacklisted Economic Operators' applies also to the Emergency Procurement Regulations (2016) S.L. 601.08.

<sup>7</sup> Part VI: Exclusion and Black Listing of Economic Operators.

<sup>8</sup> Part VI: Exclusion and Black Listing of Economic Operators.

**SECTION A - Public Contract Information**

*This section refers to information pertaining to the Public Contract.*

|  |  |
|--|--|
| Contract Reference Number:   |  |
| Contract Title / Subject:  |  |
| Original Contract Value:   |  |
| Revised Contract Value <i>(if applicable)</i> :                              |  |
| Contracting Authority/Entity:  |  |
| Funding Source <i>(MT or EU)</i> :<br><i>(If EU, specify relevant Fund)</i>  |  |
| Percentage of Sub-Contracting per<br>Sub-Contractor <i>(if applicable)</i> : |  |
| Reliance on the capacities of other<br>Entities <i>(Yes or No)</i> :         |  |

---

**SECTION B - Economic Operator's Identification**

*This section refers to information pertaining to the Contracted Economic Operator.*

**Option 1: The Economic Operator is a Sole Trader/Cooperative or Public Limited Company or Private (Un) Limited Company or Non-Profit Organisation**

*The particulars of the Contracted Sole Trader/Cooperative or Public Limited Company or Private (Un) Limited Company or Non-Profit Organisation should be provided.*

| Particulars of the Contracted Economic Operator: Sole Trader/Cooperative or Public Limited Company or Private (Un) Limited Company or Non-Profit Organisation |  |
|---|--|
| C-Number of the Economic Operator or Registration Number <i>(as applicable)</i> :   |  |
| Tax Number of the Economic Operator:  |  |
| Full Legal Name of the Economic Operator:   |  |
| Legal Personality of the Economic Operator:   |  |
| Contact Address<br><i>(Registered/Correspondence Office for the Legal Economic Operator)</i> :  |  |
| Name and Surname of the Declarant, for and on behalf of the reporting Economic Operator:  |  |
| Title/Position of the Declarant within the Economic Operator's Organisational Structure:  |  |
| Email Address of the Declarant:   |  |
| Telephone Number of the Declarant:  |  |

\_\_\_\_\_  
[Name and Surname of the Declarant]

\_\_\_\_\_  
[Signature]

\_\_\_\_\_  
[Date]

**SECTION B - Economic Operator's Identification**

*This section refers to information pertaining to the Contracted Economic Operator.*

**Option 2: The Economic Operator is a Joint Venture or Consortium or (Limited) Partnership or Group of Economic Operators**

*The particulars of each Economic Operator forming part of the Contracted Joint Venture or Consortium or (Limited) Partnership or Group of Economic Operators should be provided. The below table is to be replicated as necessary.*

|   |  |
|---|--|
| Particulars of Economic Operator No. 1 forming part of the Contracted Joint Venture or Consortium or (Limited) Partnership or Group of Economic Operators |  |
| C-Number of the Economic Operator or Registration Number <i>(as applicable)</i> :   |  |
| Tax Number of the Economic Operator:  |  |
| Full Legal Name of the Economic Operator:   |  |
| Legal Personality of the Economic Operator:   |  |
| Contact Address<br><i>(Registered/Correspondence Office for the Legal Economic Operator):</i>   |  |
| Name and Surname of the Declarant, for and on behalf of the reporting Economic Operator:  |  |
| Title/Position of the Declarant within the Economic Operator's Organisational Structure:  |  |
| Email Address of the Declarant:   |  |
| Telephone Number of the Declarant:  |  |

\_\_\_\_\_  
[Name and Surname of the Declarant]

\_\_\_\_\_  
[Signature]

\_\_\_\_\_  
[Date]

---

**SECTION C - Sub-Contractor's Identification**

*This section refers to information pertaining to all Sub-Contractor/s known and declared by the Contracted Economic Operator. The particulars of each Sub-Contractor should be provided. The below table is to be replicated as necessary.*

| Particulars of Sub-Contractor No. 1  |  |
|--|--|
| C-Number of the Sub-Contractor or Registration Number <i>(as applicable)</i> :                 |  |
| Tax Number of the Sub-Contractor:  |  |
| Full Legal Name of the Sub-Contractor:   |  |
| Legal Personality of the Sub-Contractor:   |  |
| Contact Address<br><i>(Registered/Correspondence Office for the Legal Economic Operator)</i> : |  |
| Name and Surname of the Declarant, for and on behalf of the reporting Sub-Contractor:          |  |
| Title/Position of the Declarant within the Sub-Contractor's Organisational Structure:          |  |
| Email Address of the Declarant:  |  |
| Telephone Number of the Declarant:   |  |

\_\_\_\_\_  
[Name and Surname of the Declarant]

\_\_\_\_\_  
[Signature]

\_\_\_\_\_  
[Date]

\_\_\_\_\_



**SECTION D - Entity's (relied upon for its Capacities) Identification**

*This section refers to information pertaining to any Entities upon whom the Contracted Economic Operator relied on for their capacities in meeting the Selection Criteria vis-à-vis the Economic and Financial Standing and/or Professional Ability. The particulars of each such Entity should be provided. The below table is to be replicated as necessary.*

| Particulars of Entity (relied upon for its Capacities) No. 1                                   |  |
|--|--|
| C-Number of the Entity or Registration Number <i>(as applicable)</i> :                         |  |
| Tax Number of the Entity:  |  |
| Full Legal Name of the Entity:   |  |
| Legal Personality of the Entity:   |  |
| Contact Address<br><i>(Registered/Correspondence Office for the Legal Economic Operator)</i> : |  |
| Name and Surname of the Declarant, for and on behalf of the reporting Entity:                  |  |
| Title/Position of the Declarant within the Entity's Organisational Structure:                  |  |
| Email Address of the Declarant:  |  |
| Telephone Number of the Declarant:   |  |

\_\_\_\_\_  
[Name and Surname of the Declarant]

\_\_\_\_\_  
[Signature]

\_\_\_\_\_  
[Date]

\_\_\_\_\_

**SECTION E - Beneficial Ownership Declaration**

*This section refers to details about all the Beneficial Owner(s).*

**Part I - Beneficial Ownership Declaration of Contracted Economic Operators**

**Option 1: The Economic Operator is a Sole Trader/Cooperative or Public Limited Company or Private (Un) Limited Company or Non-Profit Organisation.**

*Where, in accordance with the Beneficial Ownership definition, there is more than one owner, the particulars of each owner should be provided. The below table is to be replicated as necessary.*

The Beneficial Owner/s of the Contracted Sole Trader/Cooperative or Public Limited Company or Private (Un) Limited Company or Non-Profit Organisation is/are the following:

| Particulars of Beneficial Owner No. 1   |  |
|---|--|
| <b>Name:</b>  |  |
| <b>Date of Birth:</b>   |  |
| <b>Nationality:</b>   |  |
| <b>Country of Residence:</b>  |  |
| <b>Official Identification Number:</b>  |  |
| <b>Document Type:</b>   |  |
| <b>Country of Issue:</b>  |  |
| <b>Information about how ownership is held or control over the Economic Operator is exercised<sup>9</sup></b> | <b>% of shares:</b><br><i>[To be stated as a percentage of total ownership and/or control]</i>                                       |
|   | <b>% of direct voting rights:</b><br><i>[To be stated as a percentage of total ownership and/or control]</i>                         |
|   | <b>% of indirect voting rights:</b><br><i>[To be stated as a percentage of total ownership and/or control]</i>                       |
|   | <b>Other:</b><br><i>[Where control over the Economic Operator is exercised through other means, details should be provided here]</i> |
| Provide any other useful information aimed at adequately identifying the Ultimate Beneficial Owner/s.         |  |

*If, after having exhausted all possible means, no person is identified in line with the above definition, the natural person/s who hold/s the position of Senior Managing Official/s should be provided.*

The Senior Managing Official/s of the Contracted Sole Trader/Cooperative or Public Limited Company or Private (Un) Limited Company or Non-Profit Organisation is/are the following:

| Particulars of Senior managing official |  | Designation / Capacity |
|---|--|------------------------|
| <b>Name:</b>                            |  |                        |
| <b>Date of Birth:</b>                   |  |                        |
| <b>Nationality:</b>                     |  |                        |
| <b>Country of Residence:</b>            |  |                        |
| <b>Official Identification number:</b>  |  |                        |
| <b>Document Type:</b>                   |  |                        |
| <b>Country of Issue:</b>                |  |                        |

<sup>9</sup> Describe the beneficial ownership indicating whether it is direct or indirect ownership of 25% plus one or more of the shares or more than 25% of the voting rights or other ownership interests, including through bearer shares or through control by other means such as a power to appoint or remove the majority of directors, shareholders' agreements and agreements between shareholders and the corporate Economic Operator.

*Please provide an explanation of the reasons for identifying the Senior Managing Official/s of the Contracted Sole Trader/Cooperative or Public Limited Company or Private (Un) Limited Company or Non-Profit Organisation as beneficial owner/s.*

**Declaration:**

I, the undersigned, for and on behalf of the reporting Contracted Economic Operator confirm that all information provided in the above beneficial ownership declaration is accurate and reliable\*.

In the event that there are changes to the submitted information in relation to the Beneficial Owners, I commit to re-submit an updated Beneficial Ownership Declaration.

\_\_\_\_\_  
**[Name and Surname of the Declarant]**

\_\_\_\_\_  
**[Title/Position of the Declarant within the Contracted Economic Operator's Organisational Structure]**

\_\_\_\_\_  
**[Signature]**

\_\_\_\_\_  
**[Date]**

*\* Prior to Contract Signing and at any moment during the Public Contract Execution, the Contracting Authority/Entity reserves the right to request any Additional Information/Supporting Documentation in relation to the Ultimate Beneficial Ownership Declaration.*

**SECTION E - Beneficial Ownership Declaration**

*This section refers to details about all the Beneficial Owner(s).*

**Part I - Beneficial Ownership Declaration of Contracted Economic Operators****Option 2: The Economic Operator is a Joint Venture or Consortium or (Limited) Partnership or Group of Economic Operators**

*Where, in accordance with the Beneficial Ownership definition, there is more than one owner for each Economic Operator forming part of the Contracted Joint Venture or Consortium or (Limited) Partnership or Group of Economic Operators, the particulars of each owner should be provided. The below section (and table) is to be replicated as necessary.*

The Beneficial Owner/s of the Contracted Joint Venture or Consortium or (Limited) Partnership or Group of Economic Operators is/are the following:

**Economic Operator No. 1 forming part of the Contracted Joint Venture or Consortium or (Limited) Partnership or Group of Economic Operators**

| Particulars of Beneficial Owner No. 1 of Economic Operator No. 1   |  |
|--|--|
| Name:  |  |
| Date of Birth:   |  |
| Nationality:   |  |
| Country of Residence:  |  |
| Official Identification Number:  |  |
| Document Type:   |  |
| Country of Issue:  |  |
| Information about how ownership is held or control over the Economic Operator is exercised <sup>10</sup> | <b>% of shares:</b><br><i>[To be stated as a percentage of total ownership and/or control]</i>                                       |
|  | <b>% of direct voting rights:</b><br><i>[To be stated as a percentage of total ownership and/or control]</i>                         |
|  | <b>% of indirect voting rights:</b><br><i>[To be stated as a percentage of total ownership and/or control]</i>                       |
|  | <b>Other:</b><br><i>[Where control over the Economic Operator is exercised through other means, details should be provided here]</i> |
| Provide any other useful information aimed at adequately identifying the Ultimate Beneficial Owner/s.    |  |

<sup>10</sup> Describe the beneficial ownership indicating whether it is direct or indirect ownership of 25% plus one or more of the shares or more than 25% of the voting rights or other ownership interests, including through bearer shares or through control by other means such as a power to appoint or remove the majority of directors, shareholders' agreements and agreements between shareholders and the corporate Economic Operator.

*If, after having exhausted all possible means, no person is identified in line with the above definition, the natural person/s who hold/s the position of Senior Managing Official/s should be provided.*

The Senior Managing Official/s of the Economic Operator No. 1 forming part of the Contracted Joint Venture or Consortium or (Limited) Partnership or Group of Economic Operators is/are the following:

| Particulars of Senior managing official  |  | Designation / Capacity |
|--|--|------------------------|
| Name:  |  |                        |
| Date of Birth:   |  |                        |
| Nationality:   |  |                        |
| Country of Residence:  |  |                        |
| Official Identification number:  |  |                        |
| Document Type:   |  |                        |
| Country of Issue:  |  |                        |
| <p><i>Please provide an explanation of the reasons for identifying the Senior Managing Official/s of the Economic Operator forming part of the Contracted Joint Venture or Consortium or (Limited) Partnership or Group of Economic Operators as beneficial owner/s.</i></p> |  |                        |

**Declaration:**

I, the undersigned, for and on behalf of the reporting Economic Operator (forming part of the Contracted Joint Venture or Consortium or (Limited) Partnership or Group of Economic Operators) confirm that all information provided in the above beneficial ownership declaration is accurate and reliable\*.

In the event that there are changes to the submitted information in relation to the Beneficial Owners, I commit to re-submit an updated Beneficial Ownership Declaration.

\_\_\_\_\_  
[Name and Surname of the Declarant]

\_\_\_\_\_  
[Title/Position of the Declarant within the Economic Operator's (forming part of the Contracted Joint Venture or Consortium or (Limited) Partnership or Group of Economic Operators) Organisational Structure]

\_\_\_\_\_  
[Signature]

\_\_\_\_\_  
[Date]

*\* Prior to Contract Signing and at any moment during the Public Contract Execution, the Contracting Authority/Entity reserves the right to request any Additional Information/Supporting Documentation in relation to the Ultimate Beneficial Ownership Declaration.*

**SECTION E - Beneficial Ownership Declaration**

*This section refers to details about all the Beneficial Owner(s).*

**Part II - Beneficial Ownership Declaration of Sub-Contractors**

*Where, in accordance with the Beneficial Ownership definition, there is more than one owner, the particulars of each owner should be provided. The below tables are to be replicated as necessary.*

The Beneficial Owner/s of the Sub-Contractor of the Contracted Economic Operator is/are the following:

| Particulars of Beneficial Owner No. 1  |  |
|--|--|
| <b>Name:</b>   |  |
| <b>Date of Birth:</b>  |  |
| <b>Nationality:</b>  |  |
| <b>Country of Residence:</b>   |  |
| <b>Official Identification Number:</b>   |  |
| <b>Document Type:</b>  |  |
| <b>Country of Issue:</b>   |  |
| <b>Information about how ownership is held or control over the Economic Operator is exercised<sup>11</sup></b> | <b>% of shares:</b><br><i>[To be stated as a percentage of total ownership and/or control]</i>                                       |
|  | <b>% of direct voting rights:</b><br><i>[To be stated as a percentage of total ownership and/or control]</i>                         |
|  | <b>% of indirect voting rights:</b><br><i>[To be stated as a percentage of total ownership and/or control]</i>                       |
|  | <b>Other:</b><br><i>[Where control over the Economic Operator is exercised through other means, details should be provided here]</i> |
| Provide any other useful information aimed at adequately identifying the Ultimate Beneficial Owner/s.          |  |

*If, after having exhausted all possible means, no person is identified in line with the above definition, the natural person/s who hold/s the position of Senior Managing Official/s should be provided.*

The Senior Managing Official/s of the Sub-Contractor of the Contracted Economic Operator is/are the following is/are the following:

| Particulars of Senior managing official |  | Designation / Capacity |
|---|--|------------------------|
| <b>Name:</b>                            |  |                        |
| <b>Date of Birth:</b>                   |  |                        |
| <b>Nationality:</b>                     |  |                        |
| <b>Country of Residence:</b>            |  |                        |
| <b>Official Identification number:</b>  |  |                        |
| <b>Document Type:</b>                   |  |                        |
| <b>Country of Issue:</b>                |  |                        |
|   |  |                        |

<sup>11</sup> Describe the beneficial ownership indicating whether it is direct or indirect ownership of 25% plus one or more of the shares or more than 25% of the voting rights or other ownership interests, including through bearer shares or through control by other means such as a power to appoint or remove the majority of directors, shareholders' agreements and agreements between shareholders and the corporate Economic Operator.

*Please provide an explanation of the reasons for identifying the Senior Managing Official/s of the Sub-Contractor of the Contracted Economic Operator as beneficial owner/s.*

**Declaration:**

I, the undersigned, for and on behalf of the reporting Sub-Contractor confirm that all information provided in the above beneficial ownership declaration is accurate and reliable\*.

In the event that there are changes to the submitted information in relation to the Beneficial Owners, I commit to re-submit an updated Beneficial Ownership Declaration.

\_\_\_\_\_  
**[Name and Surname of the Declarant]**

\_\_\_\_\_  
**[Title/Position of the Declarant within the Sub-Contractor's Organisational Structure]**

\_\_\_\_\_  
**[Signature]**

\_\_\_\_\_  
**[Date]**

*\* Prior to Contract Signing and at any moment during the Public Contract Execution, the Contracting Authority/Entity reserves the right to request any Additional Information/Supporting Documentation in relation to the Ultimate Beneficial Ownership Declaration.*

**SECTION E - Beneficial Ownership Declaration**

*This section refers to details about all the Beneficial Owner(s).*

**Part III - Beneficial Ownership Declaration of Entities (relied upon for their Capacities)**

*Where, in accordance with the Beneficial Ownership definition, there is more than one owner, the particulars of each owner should be provided. The below tables are to be replicated as necessary.*

The Beneficial Owner/s of the Entity relied upon (for its Capacities) by the Contracted Economic Operator is/are the following:

| Particulars of Beneficial Owner No. 1  |  |
|--|--|
| <b>Name:</b>   |  |
| <b>Date of Birth:</b>  |  |
| <b>Nationality:</b>  |  |
| <b>Country of Residence:</b>   |  |
| <b>Official Identification Number:</b>   |  |
| <b>Document Type:</b>  |  |
| <b>Country of Issue:</b>   |  |
| <b>Information about how ownership is held or control over the Economic Operator is exercised<sup>12</sup></b> | <b>% of shares:</b><br><i>[To be stated as a percentage of total ownership and/or control]</i>                                       |
|  | <b>% of direct voting rights:</b><br><i>[To be stated as a percentage of total ownership and/or control]</i>                         |
|  | <b>% of indirect voting rights:</b><br><i>[To be stated as a percentage of total ownership and/or control]</i>                       |
|  | <b>Other:</b><br><i>[Where control over the Economic Operator is exercised through other means, details should be provided here]</i> |
| <i>Provide any other useful information aimed at adequately identifying the Ultimate Beneficial Owner/s.</i>   |  |

*If, after having exhausted all possible means, no person is identified in line with the above definition, the natural person/s who hold the position of Senior Managing Official/s should be provided.*

The Senior Managing Official/s of the Entity relied upon (for its Capacities) by the Contracted Economic Operator is/are the following:

| Particulars of Senior managing official |  | Designation / Capacity |
|---|--|------------------------|
| <b>Name:</b>                            |  |                        |
| <b>Date of Birth:</b>                   |  |                        |
| <b>Nationality:</b>                     |  |                        |
| <b>Country of Residence:</b>            |  |                        |
| <b>Official Identification number:</b>  |  |                        |
| <b>Document Type:</b>                   |  |                        |
| <b>Country of Issue:</b>                |  |                        |
|   |  |                        |

<sup>12</sup> Describe the beneficial ownership indicating whether it is direct or indirect ownership of 25% plus one or more of the shares or more than 25% of the voting rights or other ownership interests, including through bearer shares or through control by other means such as a power to appoint or remove the majority of directors, shareholders' agreements and agreements between shareholders and the corporate Economic Operator.



*Please provide an explanation of the reasons for identifying the Senior Managing Official/s of the Entity relied upon (for its Capacities) by the Contracted Economic Operator as beneficial owners.*

**Declaration:**

I, the undersigned, for and on behalf of the reporting Entity relied upon (for its Capacities) confirm that all information provided in the above beneficial ownership declaration is accurate and reliable\*.

In the event that there are changes to the submitted information in relation to the Beneficial Owners, I commit to re-submit an updated Beneficial Ownership Declaration.

\_\_\_\_\_  
**[Name and Surname of the Declarant]**

\_\_\_\_\_  
**[Title/Position of the Declarant within the Entity's Organisational Structure]**

\_\_\_\_\_  
**[Signature]**

\_\_\_\_\_  
**[Date]**

*\* Prior to Contract Signing and at any moment during the Public Contract Execution, the Contracting Authority/Entity reserves the right to request any Additional Information/Supporting Documentation in relation to the Ultimate Beneficial Ownership Declaration.*

---

## SECTION F - Additional Information

Further information/documentation substantiating the information provided above is being attached:

YES ☐

NO ☐

*If, additional Information/documentation has been provided, the relevant Declarant needs to:*

- *include a List of the Information/Documentation being submitted to verify the accuracy of the information detailed in the ultimate Beneficial Ownership Declaration.*
- *include one's Name and Surname as well as the Organisation one represents. As necessary, Section F needs to be replicated accordingly.*

The additional information is submitted by:

---

**[Name and Surname of the Declarant]**

---

**[Organisation]**

---